

Supplier Information for STEAG – Compliance and Corporate Responsibility

1. Does your company have written policies on the issue of Compliance and Corporate Responsibility, e.g. a Code of Conduct which is applicable to all employees?

2. Does your company comply with the United Nations’ “Universal Declaration of Human Rights” and the OECD Guidelines for Multinational Enterprises?

3. Does your company comply with the eight ILO core labor conventions?

4. Does your company comply with the 10 principles of the Global Compact?

5. Is your company a participant in the UN Global Compact?

6. What particular measures do you take to ensure that both your employees and employees of subsidiaries or third parties acting on behalf of your company
 - a. do not engage in corruption either as defined by German criminal law or by the law applicable to the business relationship concerned,
 - b. comply with the applicable cartel/anti-trust and competition law, and
 - c. comply with the applicable law on fulfillment of contractual obligations?

Please describe the measures you take:

7. What particular measures do you take to ensure that

- a. no child labor as defined by the ILO core labor conventions, and
- b. no forced labor as defined by the ILO core labor conventions

takes place either at your business locations or those of your subsidiaries or third parties acting on your behalf?

Please describe the measures you take:

8. Do you safeguard the rights of employees at your business locations and those of your subsidiaries to be members of a trade union and to participate in collective bargaining?

9. Do you ensure in relation to third parties working on your behalf and throughout the entire supply chain that the principles of items 1-8 are observed?

Please describe the measures you take:

10. What consequences must third parties expect if they infringe item 9?

Please describe the measures you take: